

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

Attorney Docket No. 006-413-300  
First Inventor Lee A. Horton  
Title MULTI-SHANK RIPPER  
Express Mail Label No. EL974045233US

(Only for new nonprovisional applications under 37 CFR 1.53(b))

**APPLICATION ELEMENTS**  
See MPEP chapter 600 concerning utility patent application contents.

**ADDRESS TO:** Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status. See 37 CFR 1.27.
3. ☒ Specification  
(preferred arrangement set forth below) [Total Pages 30]
- Descriptive title of the invention
  - Cross Reference to Related Applications  
Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a  
computer program listing appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) [TOTAL SHEETS 8]

5. Oath or Declaration [TOTAL SHEETS ]

- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with  
Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b)

6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of  
above copies.

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement  
(when there is an assignee) ☐ Power  
of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure  
Statement (IDS)/PTO-1449 ☒ Copies  
of IDS  
citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (should be  
specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request Under 35 U.S.C.  
122(b)(2)(B)(i). Applicant must attach form  
PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP) of prior application No.:

Prior application information: Examiner \_\_\_\_\_ Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/>	Customer Number or Bar Code Label	20433
Name BLODGETT & BLODGETT, P.C.		
Address 43 Highland Street		
City	Worcester	State Massachusetts
Country	U.S.A.	Telephone (508) 753-5533
		Fax (508) 755-1837
Zip Code	01609-2797	

Name (Print/Type)	Gerry A. Blodgett	Registration No. (Attorney/Agent)	26,090
Signature	<i>Gerry A. Blodgett</i>	Date	1/22/04

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# FEE TRANSMITTAL FOR FY 2004

## COMPLETE IF KNOWN

Application Number TBA  
 Filing Date Herewith  
 First Named Inventor Lee A. Horton  
 Examiner Name  
 Art Unit  
 Attorney Docket No. 006-413-300

Effective 10/01/2004. Patent fees are subject to annual revision.

X Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$446.00)

## METHOD OF PAYMENT (check all that apply)

X Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

X Deposit Account

Deposit Account Number

02-2838

Deposit Account Name

CUSTOMER NUMBER 20433  
 Blodgett & Blodgett, P.C.

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below. ☒ Credit any overpayments.

X Charge any additional fee(s) during the pendency of this application.

☐ Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity

Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	\$385.00
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (\$385.00)

### 2. EXTRA CLAIM FEES

Total Claims	22 - 20 =	Extra Claims	Fee from below	Fee Paid
		2	X 9	\$18.00
Independent claims	4 - 3 =	1	X 43	\$43.00
Multiple dependent				

or number previously paid, if greater; For reissues, see below

Large Entity

Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	Reissue independent claims over original patent
1205	18	2205	9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 61.00

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity

Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing an request for ex parte reexamination	
1804	920	1804	920	Requesting publication of SIR prior to Examiner action	
1805	1840	1805	1840	Request publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing Fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR §1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR §1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
1454	1300	1454	1300	Acceptance of unintentionally delayed claim for priority	

Other fee (specify)

SUBTOTAL (3) (\$) 0

## SUBMITTED BY:

Name (Print/Type) Gerry A. Blodgett

Signature

*Gerry A. Blodgett*

## Complete (if applicable)

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Telephone: (508) 753-5533

Date: 1/22/09

EXPRESS MAIL NO. EL974045238US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 006-413-300

APPLICATION NO.

FILING DATE

TBA

Herewith

TITLE OF INVENTION

MULTI-SHANK RIPPER

APPLICANT(S)

Lee A. Horton

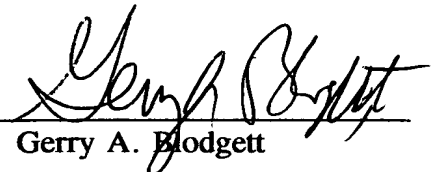
Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

EXPRESS MAIL CERTIFICATE

"EXPRESS MAIL" Label Number: EL974045233US

DATE OF DEPOSIT: January 22, 2004

I hereby certify that the following attached paper(s) or fee(s) Utility Patent Application Transmittal; Fee transmittal; Patent Application - 25 pgs. of specification, 4 pgs. of claims (1-22) and 1 pg. Abstract; 8 Sheets of drawings (FIGS. 1-8); Declaration For Utility Or Design Patent Application; Information Disclosure Statement; PTO 1449; Check No. 13462 for \$446.00 (filing fee and extra claim fee); and Return Postcard are being mailed with the UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 CFR 1.10 on the date indicated above and is/are addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Gerry A. Blodgett